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UNITED STATES PATENT AND TRADEMARK OFFICE

J1033 U.S. PTO
09/851286
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In re Application of: : Group Art Unit:
Brock, A. et al.: To Be Assigned
Serial No.:To Be Assigned : IPLaw T81/B503
: IBM Corporation
Filed:Concurrently Herewith : PO Box 12195
: RTP, NC 27709

Title: Method of Operating an Intrusion Detection System According to a Set of Business Rules

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

Respectfully submitted,

By: David R. Irvin
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DRI/ssc
Docket: RSW920010092US1
Phone: 919-543-7810 / Fax: 919-254-4330

List of Patents and Publications for
Applicant's Information Disclosure Statement

APPLICANT: Brock, A. et al.

(Use several sheets if necessary)

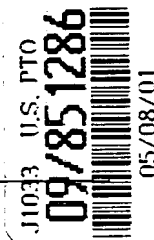
FILING DATE: To be Assigned

GROUP:

Reference Designation

US PATENT DOCUMENTS

Examiner Initials	Document Number	Date	Name	Class/Subclass	Filing Date (If. Appro.)
AA	6,202,157	03/13/01	Brownlie et al.	713/201	12/08/97
AB	5,621,889	04/15/97	Lermuzeaux et al.	395/186	06/08/94
AC	5,991,881	11/23/99	Conklin et al.	713/201	11/08/96
AD	5,796,942	08/18/98	Esbensen	395/187.01	11/21/96
AE	6,029,144	02/22/00	Barrett et al.	705/30	08/29/97
AF	5,278,901	01/11/94	Shieh et al.	380/4	04/30/92



FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class/Subclass	Translation Yes No
AG	WO00/07312	02/10/00	PCT	H04J/3/14	X
AH	EP0985995	03/15/00	Europe	G06F/1/00	X

OTHER ART (Including Author, Title, Data, Pertinent Pages, etc.)

- AI Research Disclosure, No. 422118. 06/99 "System for Detection of Intrusions Based on Sequence Number Irregularities" p881
- AJ IBM Technical Disclosure Bulletin, V37, No. 05, 05/94 "Actively Slowing a CPU in Response to the Detection of a Signature String" pp419-421

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.